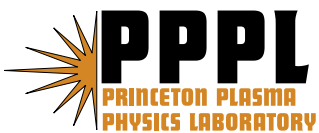


**Comparison Study of 2-D Images of Temperature
Fluctuations during the Sawtooth Oscillation
with Theoretical Models**

H.K. Park, A.J.H. Donné, N.C. Luhmann, Jr., I.G.J. Classen,
C.W. Domier, E. Mazzucato, T. Munsat, M.J. van de Pol,
Z. Xia, and TEXTOR Team

April 2006



Princeton Plasma Physics Laboratory

Report Disclaimers

Full Legal Disclaimer

This report was prepared as an account of work sponsored by an agency of the United States Government. Neither the United States Government nor any agency thereof, nor any of their employees, nor any of their contractors, subcontractors or their employees, makes any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or any third party's use or the results of such use of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise, does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof or its contractors or subcontractors. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States Government or any agency thereof.

Trademark Disclaimer

Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise, does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof or its contractors or subcontractors.

PPPL Report Availability

Princeton Plasma Physics Laboratory

This report is posted on the U.S. Department of Energy's Princeton Plasma Physics Laboratory Publications and Reports web site in Fiscal Year 2006.

The home page for PPPL Reports and Publications is:

http://www.pppl.gov/pub_report/

Office of Scientific and Technical Information (OSTI):

Available electronically at: <http://www.osti.gov/bridge>.

Available for a processing fee to U.S. Department of Energy and its contractors, in paper from:

U.S. Department of Energy
Office of Scientific and Technical Information
P.O. Box 62
Oak Ridge, TN 37831-0062

Telephone: (865) 576-8401

Fax: (865) 576-5728

E-mail: reports@adonis.osti.gov

Comparison Study of 2-D Images of Temperature Fluctuations during the Sawtooth Oscillation with Theoretical Models

H. K. Park¹, A.J.H. Donne², N.C. Luhmann Jr.³, I.G.J. Classen², C.W. Domier³,
E. Mazzucato¹, T. Munsat⁴, M.J. van de Pol², Z. Xia² and TEXTOR team⁵

¹Princeton Plasma Physics Laboratory, Princeton, New Jersey, U.S.A

²FOM-Institute for Plasma Physics Rijnhuizen*, Association EURATOM-FOM, P.O.
Box 1207, 3430 BE Nieuwegein, The Netherlands

³University of California at Davis, California, U.S.A.

⁴University of Colorado at Boulder, Colorado, U.S.A.

⁵Forschungszentrum Jülich GmbH*, Institut für Plasmaphysik, Association EURATOM-
FZJ, D-52425 Jülich, Germany

*partners in the Trilateral Euregio Cluster

High temporal and spatial resolution two dimensional (2-D) images of electron temperature fluctuations were employed to study the sawtooth oscillation in TEXTOR tokamak plasmas. The 2-D images are directly compared to the expected 2-D patterns of the plasma pressure (or electron temperature) from various theoretical models. The observed experimental 2-D images are only partially in agreement with the expected patterns from each model: the image of the initial reconnection process is similar to that of the ballooning mode model. The intermediate and final stages of the reconnection process resemble those of the full reconnection model. The time evolution of the images of the hot spot/island is partially consistent to those from the full reconnection model, but is not consistent with those from the quasi-interchange model.

The “sawtooth oscillation” was discovered in the early days of fusion plasma research [1] and is known as the $m/n=1/1$ internal kink mode where m and n are poloidal and toroidal mode numbers, respectively. An excellent review of recent research in the field of sawtooth oscillations is given in Ref. [2]. This paper presents a direct comparison study between experimentally measured high resolution 2-D images of electron temperature fluctuations with the relevant 2-D pattern from prominent physical models developed for the sawtooth oscillation physics in high temperature plasmas. The

experiment was performed in the Toroidal Experiment for Technically Oriented Research (TEXTOR) tokamak plasma, which has a circular cross section, major radius of 175 cm and minor radius of 46 cm [3]. The toroidal magnetic field in the present work was in the range 1.9 - 2.4 T and the corresponding plasma current was < 305 kA. Key plasma parameters were as follows: the central electron density and temperature are in the range 1.5 to $2.5 \times 10^{19} \text{ m}^{-3}$ and from 1.2 to 1.6 keV, respectively. The corresponding peak toroidal beta is $\sim 1.0\%$ and the average poloidal beta is between $0.3 - 0.5$. The toroidal rotation of the plasma varied from ~ 1 to 8×10^4 m/s. The speed of a thermal electron is $\sim 6 \times 10^7$ m/s. The Alfvén and ion acoustic speeds are 5×10^6 and 7×10^5 m/s., respectively. Using plasma parameters close to the $q \sim 1$ surface, the characteristic reconnection time (τ_c) is $\sim 700 \mu\text{s}$.

High-resolution 2-D images of the electron temperature fluctuations in TEXTOR have been measured with a 2-D electron cyclotron emission imaging (ECEI) system. The basic principle of the technique is similar to that of conventional 1-D ECE radiometers [4, 5]. The new feature of the ECEI diagnostic is that measurements are done in a 2-D matrix of sample volumes. Since the ECEI diagnostic has recently been published elsewhere [6, 7], we only briefly mention it here as an introduction to the comparison with theoretical models. The system has 16 (vertical) x 8 (horizontal) sampling volumes arranged in a 2-D matrix of 16 cm (vertical) x 7 cm (radial). The vertical Full Width at Half Maximum (FWHM) of the central antenna pattern is 2 cm and slightly worsens at the top and bottom edges; the radial resolution is ~ 1 cm across the core of the tokamak plasma (total 128 channels). The time resolution of the system is primarily limited by the digitizer and the fastest time scale can be up to $5 \mu\text{sec}$. Radial extensions of the image can be obtained with a variation of the LO source frequency and/or the applied magnetic field. The fluctuation quantities are relatively calibrated to the averaged value obtained with a long integration time and the intensity of the images is represented by $\delta T_e / \langle T_e \rangle$, where T_e is the electron temperature, $\langle \rangle$ is the time average, δT_e is the fluctuation level ($= T_e - \langle T_e \rangle$) and $\langle T_e \rangle$ is constant for the duration of many sawtooth oscillations. The first measurements with the ECEI system on the reconnection process in TEXTOR have been recently published [8]. This paper focuses on a direct comparison with three leading physical models developed for the sawtooth crash phenomenon.

In the full reconnection model [9, 10], the plasma current density in the core region increases ($q(0)$ drops below unity), and the $m/n=1/1$ internal kink mode becomes unstable due to a pressure driven instability. Island formation starts due to an influx of the cooler part of the plasma outside the inversion radius through the magnetic reconnection, as soon as the pressure driven instability reconnects the magnetic field through the reconnection zone along the magnetic pitch of the $q\sim 1$ surface. As the island (the region with $q\sim 1$) grows, the hot spot (the region with $q<1$) gets smaller and it is eventually eliminated and the island fully occupies the core on a reconnection time scale defined as $\tau_c \approx \frac{1}{2} \sqrt{\tau_A^* \cdot \tau_\eta}$, where τ_A^* is the modified Alfvén transit time and τ_η is the resistive diffusion time in Refs. [9, 10]. Second, the quasi-interchange model [11] differs significantly from the full reconnection model and does not require any magnetic field reconnection process. The core plasma having a flat q -profile ($q\sim 1$) inside the inversion radius becomes unstable due to a slight change of the magnetic pitch angle. In this model, there is no pressure driven instability. As the hot spot deforms into a crescent shape, the cooler outside portion of the plasma is convectively inducted into the core region, resulting in a flattening of the core pressure profile. This model was experimentally supported by the x-ray tomography [12] on the Joint European Tokamak (JET); however, a later experimental study [13] on the Tokamak de Varrenes (TdeV) concluded that the tomographic reconstruction is ill-posed and that there is no unique solution for the image through the inversion process of a limited number of chordal measurements from only a few independent views. The distinctively different evolution of the hot spot and/or cold island formation between the quasi-interchange model and full reconnection model could not be conclusively identified due to the lack of reliable 2-D experimental tools. Furthermore, the observation that there is no significant change [14, 15] in the core plasma current ($q(0)$ was changed only from 0.7 to 0.8 and stayed below ~ 1.0) before and after the sawtooth crash is inconsistent with both models. Note that there exists an experimental result that $q(0)$ was changed from ~ 0.7 before the reconnection to ~ 1.0 after reconnection in a shaped plasma (DIII-D [16]) which supports the full reconnection model. This discrepancy further motivated modeling of the driven reconnection process of the sawtooth oscillation as a secondary reconnection process [17] Observation of a

localized electron temperature bulge [18, 19] at the low field side on the poloidal plane in the Tokamak Fusion Test Reactor (TFTR) device has been interpreted as caused by a finite pressure effect on the sawtooth oscillation [20]. Here, a steep pressure gradient near the temperature bulge at the low field side leads to a global stochasticity of the magnetic field which is thought to be necessary to reconcile the small change of the current density and the fast change of the pressure during the reconnection time observed in finite beta [$\beta = (2\mu_0 \sum_{j=i,e} n_j T_j / B^2)$] plasmas. Finally, the pressure driven ballooning mode instability was introduced to account for the observed disruptions lead by a sawtooth crash in the high beta ($\beta_p \sim 1$ and $\beta_i(0) \sim 4\%$) plasmas [18, 19] in TFTR. These modes are more pronounced at the bad curvature side of the magnetic surface (low field side of the torus). Also, a 3-D local reconnection model where the reconnection zone is localized in the toroidal plane with many assumptions has been proposed in Ref. [18]. In plasmas with a moderate beta ($\beta_p \sim 0.4$ and $\beta_i(0) \sim 1\%$), where the present 2-D imaging measurements were conducted, the level of the ballooning modes and global stochasticity of magnetic field lines that are strongly coupled with the pressure surfaces, is moderate compared to those at high beta plasmas as demonstrated in Ref. [19]. All models developed to explain the sawtooth oscillation are based on numerous assumptions, and thus there is a strong need to compare them with precise experimental results.

A representative view of the sequence of 2-D ECEI images during the reconnection process is provided in Fig. 1. The time history of the temperature fluctuation measured by one of the 128 channels (innermost channel at $z=0$) illustrates the typical precursor oscillations as the plasma rotates in the toroidal direction. The corresponding images at each time slice are shown in the figure. The toroidal rotation is mainly driven by the momentum of the heating beam and the estimated speed is $\sim 8 \times 10^4$ m/s. Note that the phase of each reconnection process at the view position is strongly governed by the local nature of the reconnection event and the plasma rotation speed. In the precursor stage, the growth of island/hot spot is clearly illustrated. Before the heat flow crosses the inversion radius, distortion of the $m/n=1/1$ mode (sharp temperature point) in the bottom at the low field side is clearly illustrated after the symmetric image shown in frame 1. The sharp temperature point even crosses the inversion radius and

leads to a puncture of the magnetic surface as the plasma rotates and the heat flow across the inversion radius ends at the top of the view as illustrated in this figure.

The evolution of the hot spot/island in the early stage of the precursor period is compared with the relevant images from the full reconnection model and the quasi-interchange model in Fig. 2. In the full reconnection model, the formation of the island is an indication of the topological change of the magnetic field through the reconnection at the low field side. One of the frames from the simulation results in Ref. 14 is directly compared with frames 4 (hot spot) and 5 (island) from Fig. 1 as shown in Fig. 2a. The shape and growth of the island in Fig. 2a are strikingly similar to those from simulation results of the full reconnection model. On the other hand, the shape of the hot spot is circular and it swells as it approaches the crash time, whereas the hot spot in the model is shrinking as the island grows in simulation. In the experimental result, there is no indication of a heat flow until the reconnection through the sharp temperature point takes place. In the full reconnection model, the formation of the island is the beginning of the reconnection process since it is assumed that the island is the result of a topological change of the magnetic field structure. The reconnection time, estimated based on the definition of the characteristic time (τ_c) which starts with the time when island formation is observed (precursor) and ends with the full island formation in the core for this experimental condition, is $\sim 600 \mu s$ which is consistent with the estimated value of τ_c . However, it is notable that a trace of heat flow outside of the inversion radius was routinely observed in the later stage of the precursor as shown in Fig. 1. If the time when a trace of the heat flow outside of the inversion radius is detected is regarded as the beginning of the reconnection process, the reconnection time is less than $\sim 100 \mu s$. This observation suggests a new physical mechanism which may delay the reconnection process until a critical time while the island grows. Alternatively, the reconnection process is based on two distinctive phases; the first phase is an extremely weak reconnection, while a stronger reconnection driven by a pressure mode follows in the second phase. Often, the “crash time” is referred to as the time period from the maximum value of $T_e(0)$ to the minimum value of $T_e(0)$ when there are no precursors whereas the characteristic reconnection time (τ_c) is referred to as the time period from the moment

when the island is formed during the precursor phase (indication of reconnection at the lower field side) during the precursor phase to the moment when the island is fully established.

In the quasi-interchange model, the hot spot deforms into a crescent shape due to a strong distortion of the magnetic surface of the $m/n=1/1$ mode that results from the lack of magnetic shear and the cooler parts of the plasma are convectively induced to the concave side of the crescent shaped hot spot as shown in Fig. 2b. Therefore, any magnetic field line reconnection process is not required to explain the sawtooth oscillation. It is rather clear that the time evolution of the island (cold spot) in the experimental 2-D images is distinctively different from this model. Furthermore, the localized reconnection does occur with a sharp pressure point and heat flow crosses the inversion radius whereas this model does not require any type of reconnection process. Since the occurrence frequency of the full reconnection type of the sawtooth crash is dominant, the pressure instability driven reconnection may be the dominant mechanism compared to the magnetic instability.

The sharp temperature point or “pressure finger” accompanied with the swelling of the $m/n=1/1$ mode at the low field side of the torus is the signature of the ballooning mode model. Dispersion of the heat is dominated by the global stochastic magnetic field in this model. The magnitude of the “pressure finger” and the global stochasticity of the magnetic field are small at the moderate plasma beta. In Fig. 3, the observed 2-D images of the reconnection processes on the poloidal plane are compared to those from the simulation results of the ballooning mode model [22] for a similar plasma beta ($\beta_p=0.4$ and $\beta_r\sim 2\%$). Three 2-D images (before the presence of the ballooning mode, ballooning mode, and crash phase) are directly compared to the 2-D pressure pattern of the ballooning mode in the bad curvature (low field) side from the simulation [22]. The pressure bulge with a smooth surface before the development of the ballooning mode is quite similar as shown in the top frame of Fig. 3b. In the middle frame of Fig.3b, the sharp temperature point is strikingly similar to the ballooning mode from the simulation. While the stochastic behavior is dominant in the pressure pattern of the simulation, the experimentally measured heat flow patterns are highly collective as shown in the bottom

of Fig. 3b. At the good curvature side of the torus (high field side), the measured 2-D image before development of the ballooning mode is quite similar as shown in the top frame of the Fig. 3a. In the middle frame of Fig. 3a, instead of the “pressure finger” as shown in the low field side, the $m/n=1/1$ mode is indented toward the center while the observed 2-D image of the sharp temperature point resembles that of the low field side. Like the low field case, the global stochasticity of the pressure pattern is dominant in simulation while the heat flow is highly collective in the high field side (bottom of the Fig. 3a).

The observed 2-D images of the electron temperature fluctuations during the reconnection time are directly compared with characteristic 2-D patterns from three leading physical models. The time evolution of the hot spot and island partly resembles that of the full reconnection model, but it is not consistent with those of the quasi-interchange model. A pressure driven instability (sharp temperature point due to the distortion) of the $m/n=1/1$ mode accompanied with a kink instability or pressure bulge due to a finite pressure effect on the $m=1$ mode is consistent with the ballooning mode model but the fact that the observed heat transport in the poloidal plane is well organized (collective behavior) suggests that the global stochasticity of the magnetic field line is not the dominant mechanism for this case.

Acknowledgment

The authors are grateful to Drs. H. Soltwisch, W. Park, E. Fredrickson, M. Yamada, for valuable discussions. This work is supported by the US DOE contract Nos. DE-AC02-76-CH0-3073, DE-FG03-95ER-54295 and W-7405-ENG-48 and NWO and EURATOM.

Reference

- [1] S. von Goeler et al., Phys. Rev. Lett. 33, 1201 (1974)
- [2] R.J. Hastie, Astrophysics and Space Sciences, 256, 177-204, (1998)
- [3] A.J.H. Donné et al., Fusion Sci. Tech 47, 220, (2005).
- [4] I. Hutchinson, “Plasma Diagnostics”, Cambridge University, New York (1987)
- [5] G. Bekefi “Radiation Processes in Plasmas”, Wiley, New York, (1966)
- [6] H. Park et al., Rev. Sci. Instrum. 75, 3787 (2004)

- [7] H. Park et al., Rev. Sci. Instrum., 74, 4239 (2003)
- [8] H. Park et al., to be published in Phys. of Plasmas (2006)
- [9] B.B. Kadomtsev, Sov. J. Plasma Phys. 1, 389 (1975)
- [10] A. Sykes and J.A. Wesson, Phys. Rev. Lett. 37, 140, (1976)
- [11] J.A. Wesson, Plasma Phys. Control. Fusion 28, 243 (1986)
- [12] R.S. Granetz, et al., Nucl. Fusion, 28, 457, (1988)
- [13] C. Janicki, et al., Nucl. Fusion 30, 950 (1990)
- [14] H. Soltwisch, Rev. Sci. Instrum., 70, 815 (1988)
- [15] F.M. Levinton et al., Phys. Fluids B 5, 2554 (1993)
- [16] B.W. Rice, et al., Rev. Sci. Instrum., 70, 815 (1999)
- [17] D. Biskamp and J. F. Drake, Phys. Rev. Lett. 73, 971 (1994)
- [18] Y. Nagayama, et al., Phys. Plasma 3, 1647 (1996)
- [19] E.D. Fredrickson et al., Phys. of Plasmas 7, 5051 (2000)
- [20] W. Park, et al., Phys. Fluids B 3 507, (1991)
- [21] W. Park, et al., Phys. Rev. Lett. 75, 1763 (1995)
- [22] Y. Nishimura et al., Phys. of Plasmas 6, 4685 (1999)

Figure Captions

FIG.1. High resolution single frame images of the time evolution of the hot spot/island (cold spot) while the plasma is rotating along the toroidal direction. During the precursor phase, the island is growing as the $m/n=1/1$ mode swells toward the low field side but does not show any sign of the heat flow until a sharp temperature point develops and heat crosses the inversion radius.

FIG.2. Experimental 2-D images of the hot spot and island formation from Fig. 1 are directly overlaid for comparison on the 2-D line patterns from the full reconnection model (a) and the quasi-interchange model (b).

FIG.3. Three frames (before the ballooning mode is formed, with the ballooning mode, and stochastic pressure pattern after the ballooning mode) from the simulation in Ref. [22] are directly compared with the relevant 2-D images. (a) High field side (b) Low field side

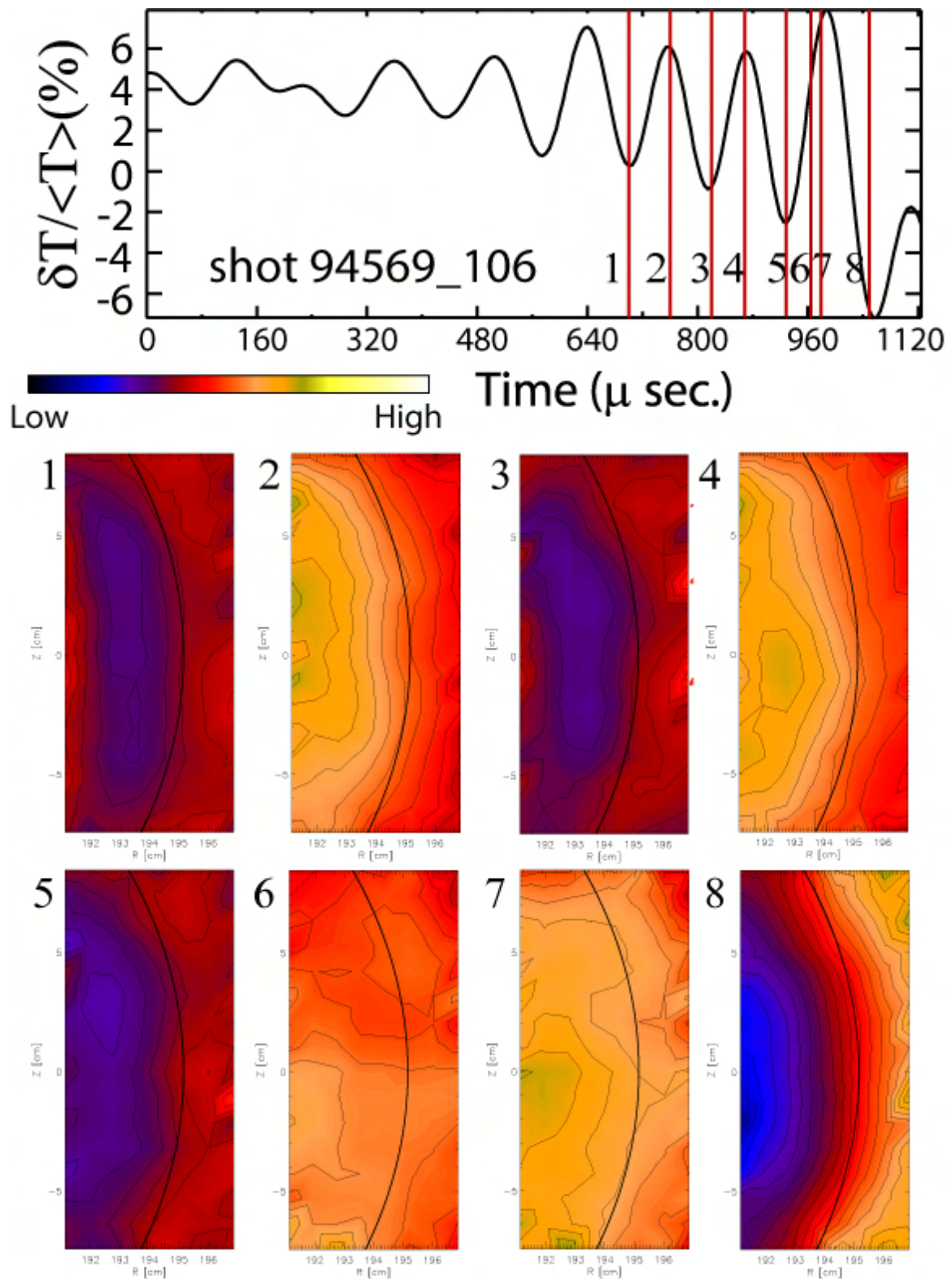


Fig.1

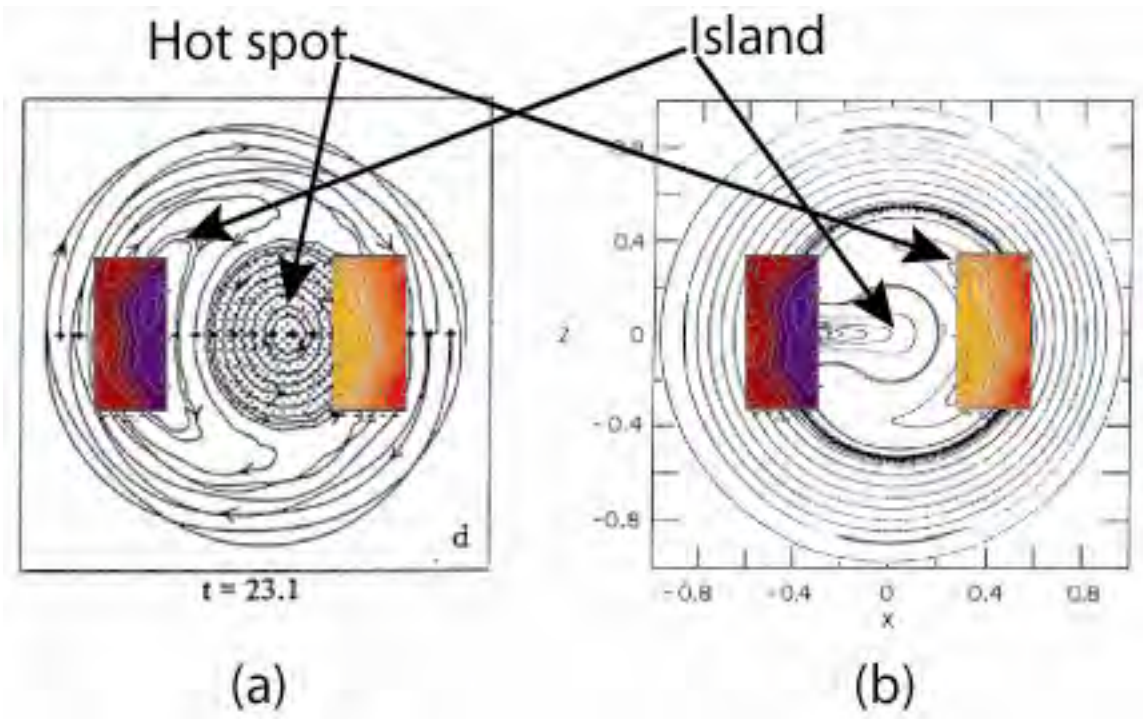


Fig.2

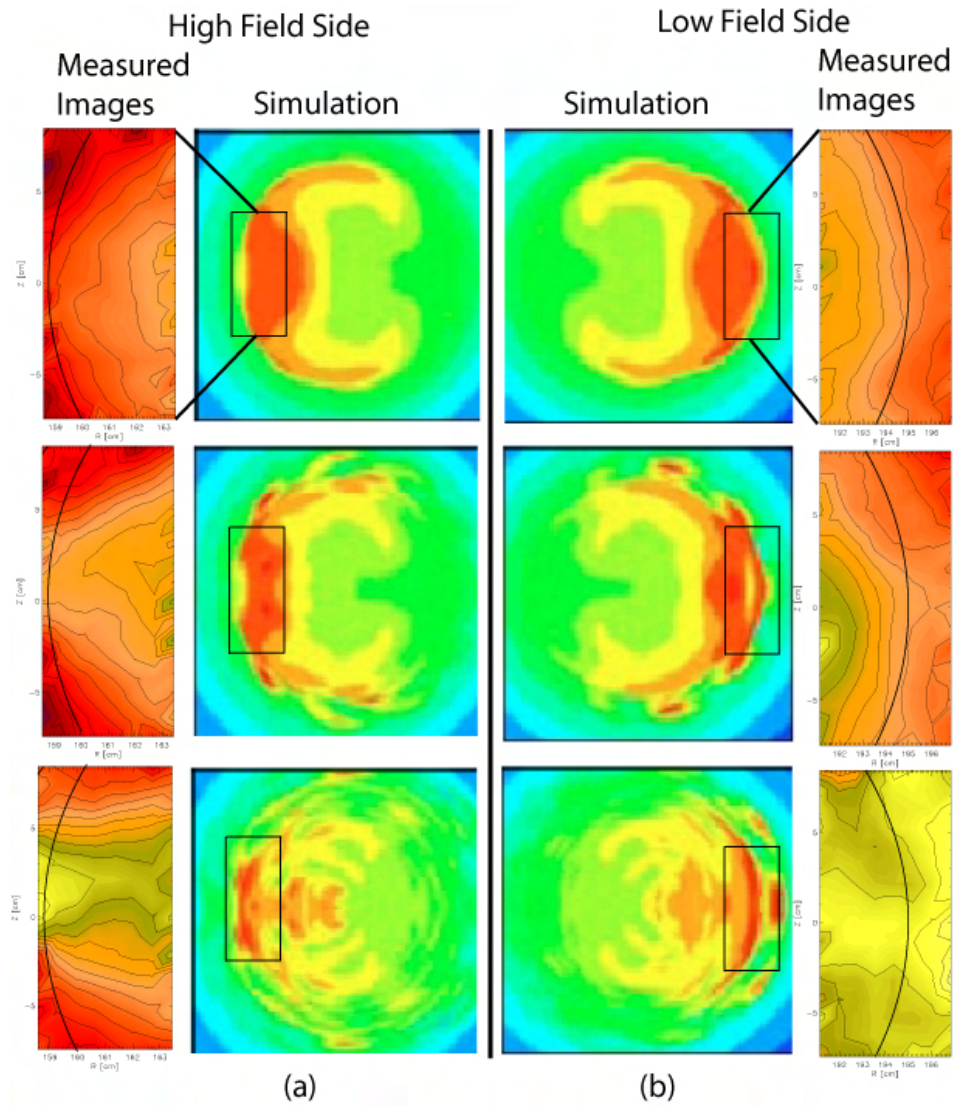


Fig. 3

External Distribution

Plasma Research Laboratory, Australian National University, Australia
Professor I.R. Jones, Flinders University, Australia
Professor João Canalle, Instituto de Fisica DEQ/IF - UERJ, Brazil
Mr. Gerson O. Ludwig, Instituto Nacional de Pesquisas, Brazil
Dr. P.H. Sakanaka, Instituto Fisica, Brazil
The Librarian, Culham Science Center, England
Mrs. S.A. Hutchinson, JET Library, England
Professor M.N. Bussac, Ecole Polytechnique, France
Librarian, Max-Planck-Institut für Plasmaphysik, Germany
Jolan Moldvai, Reports Library, Hungarian Academy of Sciences, Central Research
Institute for Physics, Hungary
Dr. P. Kaw, Institute for Plasma Research, India
Ms. P.J. Pathak, Librarian, Institute for Plasma Research, India
Dr. Pandji Triadyaksa, Fakultas MIPA Universitas Diponegoro, Indonesia
Professor Sami Cuperman, Plasma Physics Group, Tel Aviv University, Israel
Ms. Clelia De Palo, Associazione EURATOM-ENEA, Italy
Dr. G. Grosso, Istituto di Fisica del Plasma, Italy
Librarian, Naka Fusion Research Establishment, JAERI, Japan
Library, Laboratory for Complex Energy Processes, Institute for Advanced Study,
Kyoto University, Japan
Research Information Center, National Institute for Fusion Science, Japan
Professor Toshitaka Idehara, Director, Research Center for Development of Far-Infrared Region,
Fukui University, Japan
Dr. O. Mitarai, Kyushu Tokai University, Japan
Mr. Adefila Olumide, Ilorin, Kwara State, Nigeria
Dr. Jiangang Li, Institute of Plasma Physics, Chinese Academy of Sciences, People's Republic of China
Professor Yuping Huo, School of Physical Science and Technology, People's Republic of China
Library, Academia Sinica, Institute of Plasma Physics, People's Republic of China
Librarian, Institute of Physics, Chinese Academy of Sciences, People's Republic of China
Dr. S. Mirnov, TRINITI, Troitsk, Russian Federation, Russia
Dr. V.S. Strelkov, Kurchatov Institute, Russian Federation, Russia
Kazi Firoz, UPJS, Kosice, Slovakia
Professor Peter Lukac, Katedra Fyziky Plazmy MFF UK, Mlynska dolina F-2, Komenskeho Univerzita,
SK-842 15 Bratislava, Slovakia
Dr. G.S. Lee, Korea Basic Science Institute, South Korea
Dr. Rasulkhozha S. Sharafiddinov, Theoretical Physics Division, Institute of Nuclear Physics, Uzbekistan
Institute for Plasma Research, University of Maryland, USA
Librarian, Fusion Energy Division, Oak Ridge National Laboratory, USA
Librarian, Institute of Fusion Studies, University of Texas, USA
Librarian, Magnetic Fusion Program, Lawrence Livermore National Laboratory, USA
Library, General Atomics, USA
Plasma Physics Group, Fusion Energy Research Program, University of California at San Diego, USA
Plasma Physics Library, Columbia University, USA
Alkesh Punjabi, Center for Fusion Research and Training, Hampton University, USA
Dr. W.M. Stacey, Fusion Research Center, Georgia Institute of Technology, USA
Director, Research Division, OFES, Washington, D.C. 20585-1290

The Princeton Plasma Physics Laboratory is operated
by Princeton University under contract
with the U.S. Department of Energy.

Information Services
Princeton Plasma Physics Laboratory
P.O. Box 451
Princeton, NJ 08543

Phone: 609-243-2750
Fax: 609-243-2751
e-mail: pppl_info@pppl.gov
Internet Address: <http://www.pppl.gov>